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STATEMENT UNDER 37 CFR 3.73(b)

Application Number:

10/670,487

Filing Date:

September 25, 2003

Inventor(s):

Ji Ung Lee et al.

Group Art Unit:

Examiner Name:

Attorney Docket Number:

132614-1

I hereby appoint:

☒ Practitioners at Customer Number: 006147

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark office connected therewith.

Please change the correspondence address for the above-identified application to:

Firm or Individual Name	GENERAL ELECTRIC COMPANY				
Address	GLOBAL RESEARCH				
Address	PATENT DOCKET RM., BLDG. K1-4A59 PO BOX 8				
City	SCHENECTADY	State	NY	Zip	12301
Country	USA				

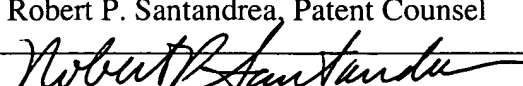
I am the:

- ☐ Applicant/Inventor
- ☒ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) (below)

STATEMENT UNDER 37 CFR 3.73(b)

General Electric Company, a Corporation, certifies that it is the assignee of the patent application identified above by virtue of an assignment from the inventor(s). The assignment was recorded in the Patent and Trademark office at Reel _____, Frame(s) _____, or if no reel and frame information is available, a copy of the executed Assignment is submitted herewith.

The undersigned, whose title is supplied below, is empowered to sign this statement on behalf of the assignee.

SIGNATURE OF Applicant or Assignee of Record			
Name & Title	Robert P. Santandrea, Patent Counsel		Registration No. 45,072
Signature			
Date	5/5/04	Telephone	(518) 387-6304

ASSIGNMENT

WHEREAS, Ji Ung Lee, Reed Roeder Corderman and William Hullinger Huber (hereinafter referred to as "ASSIGNORS") have invented certain new and useful improvements in an invention entitled:

**SELF-ALIGNED GATED ROD FIELD EMISSION DEVICE AND
ASSOCIATED METHOD OF FABRICATION**

___ for which U.S. Patent Application No. _____ was filed on _____; or

X for which an application for a United States patent is being submitted to the United States Patent and Trademark Office herewith; and

WHEREAS, General Electric Company, a New York Corporation, (hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire right, title, and interest in and to said invention, and in and to said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, in accordance with the obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell and transfer to said ASSIGNEE, and to ASSIGNEE'S successors and assigns, ASSIGNORS' entire right, title, and interest in and to said invention in the United States and its territorial possessions and in any and all foreign countries and to all Letters Patent or similar legal protections in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any application claiming priority to/from the application, or any continuation, division, continuation-in-part, reexamination, renewal, substitute, extension, or reissue thereof or any legal equivalent thereof in a foreign country for the full term(s) for which the same may be granted; and authorize and request the Commissioner for Patents of the United States and any official of any foreign country

whose duty it is to issue patents or legal equivalents thereof, to issue same for this invention to ASSIGNEE, its lawful successors and assigns.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention, said application, and said Letters Patent and legal equivalents thereof in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments, or affidavits required to apply for, obtain, maintain, issue, and/or enforce said invention, said application, and said Letters Patent and legal equivalents thereof in foreign countries which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, we have hereunto set hand and signed on the date indicated below:

SIGNATURES

The signatures must correspond to the name(s) of the inventor(s) above.

INVENTOR # 1

Ji Ung Lee
Ji Ung Lee

9/22/2003
Date Signed

State of New York) SS:

County of Schenectady)

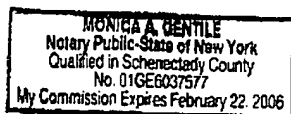
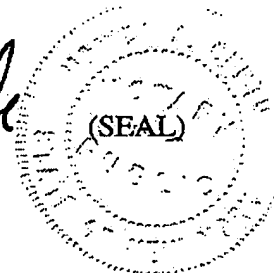
Submitted and sworn to, or affirmed before me this 22nd day of September, 2003.

Signature of Notary Public:

Monica A. Gentile

My Commission Expires:

2-22-06



Docket No.: 132614-1
(RD-31460)

INVENTOR #2

Reed Roeder Corderman

Reed Roeder Corderman

Sept 18, 2003

Date Signed

State of New York) SS:

County of Schenectady)

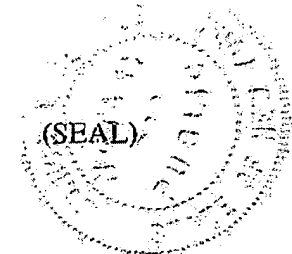
Submitted and sworn to, or affirmed before me this 18th day of September, 2003.

Signature of Notary Public:

Monica A. Gentile

My Commission Expires:

2-22-06



MONICA A. GENTILE
Notary Public-State of New York
Qualified in Schenectady County
No. 01GE6037577
My Commission Expires February 22, 2006

Docket No.: 132614-1
(RD-31460)

INVENTOR #3

William H. Hullinger Huber
William Hullinger Huber

9/18/03
Date Signed

State of New York) SS:

County of Schenectady)

Submitted and sworn to, or affirmed before me this 18th day of September, 2003.

Signature of Notary Public: Monica A. Gentile
My Commission Expires: 2-22-06

